

## UNITED STATES PATENT AND TRADEMARK OFFICE

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IFW S

| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT    | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|--------------------------|------------------------|
| 10/797,608         | 03/11/2004             | Albert Jan Hendrik Klomp | 081468-0308663         |

00909  
PILLSBURY WINTHROP, LLP  
P.O. BOX 10500  
MCLEAN, VA 22102

CONFIRMATION NO. 4060  
FORMALITIES LETTER



\*OC000000012787270\*

Date Mailed: 05/27/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$230 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**

11/10/2004 SDENB0B1 00000063 033975 10797508

Total additional fee(s) required for this application is \$1130 for a Large Entity

|            |           |
|------------|-----------|
| 01 FC:1001 | 790.00 DA |
| 02 FC:1051 | 130.00 DA |
| 03 FC:1202 | 144.00 DA |
| 04 FC:1201 | 88.00 DA  |

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

- Total additional claim fee(s) for this application is \$230

- \$86 for 1 independent claims over 3.
- \$144 for 8 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART I - ATTORNEY/APPLICANT COPY

O I P A  
Client Reference: P-1621.010-US

NOV 09 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT APPLICATION of: ALBERT JAN HENDRIK KLOMP ET AL.  
Application No.: 10/797,608 Confirmation No: 4060

Filed: March 11, 2004

Group Art Unit: 3652

Examiner:

Title: TEMPERATURE CONDITIONED LOAD LOCK, LITHOGRAPHIC APPARATUS  
COMPRISING SUCH A LOAD LOCK AND METHOD OF MANUFACTURING A SUBSTRATE  
WITH SUCH A LOAD LOCK

RESPONSE TO NOTICE TO FILE MISSING PARTS

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants respectfully request a 4 month extension of time to respond to the Notice to File Missing Parts of Application mailed May 27, 2004. The requisite extension fee is enclosed, where applicable.

In response to the Notice to File Missing Parts of Application mailed May 27, 2004, a copy of which is enclosed, Applicants herewith submit the following:

Executed Combined Declaration and Power of Attorney of Inventors  
Assignment and Recordation Cover Sheet  
Information Disclosure Statement and PTO-1449 (with foreign search report and cited  
foreign references)  
Submission of Priority Documents  
Supplemental Application Data Sheet

Please see attached PTO/SB/17 for payment of fees.

Respectfully submitted,

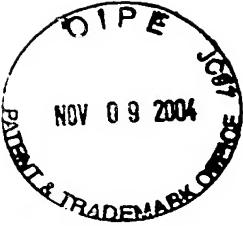
PILLSBURY WINTHROP LLP

By \_\_\_\_\_

  
Emily T. Bell  
Registration No. 47418  
Telephone: (703) 905-2261

Date: November 9, 2004

P.O. Box 10500  
McLean, VA 22102  
Customer No.: 00909



PTO/SB/17 (10-04 v2)

Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 2,722.00)

## Complete if Known

|                      |                          |
|----------------------|--------------------------|
| Application Number   | 10/797,608               |
| Filing Date          | March 11, 2004           |
| First Named Inventor | ALBERT JAN HENDRIK KLOMP |
| Examiner Name        |                          |
| Art Unit             | 3652                     |
| Attorney Docket No.  | 081468-0308663           |

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None
 Deposit Account:

|                        |                        |
|------------------------|------------------------|
| Deposit Account Number | 033975                 |
| Deposit Account Name   | PILLSBURY WINTHROP LLP |

The Director is authorized to: (check all that apply)

 Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) or any underpayment of fee(s)  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| Large Entity  | Small Entity  | Fee Description  | Fee Paid |
|---------------|---------------|--|----------|
| Fee Code (\$) | Fee Code (\$) | Fee Description  |          |
| 1051 130      | 2051 65       | Surcharge - late filing fee or oath  | 130.00   |
| 1052 50       | 2052 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053 130      | 1053 130      | Non-English specification  |          |
| 1812 2,520    | 1812 2,520    | For filing a request for ex parte reexamination                            |          |
| 1804 920*     | 1804 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805 1,840*   | 1805 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 1251 110      | 2251 55       | Extension for reply within first month                                     |          |
| 1252 430      | 2252 215      | Extension for reply within second month                                    |          |
| 1253 980      | 2253 490      | Extension for reply within third month                                     |          |
| 1254 1,530    | 2254 765      | Extension for reply within fourth month                                    | 1,530.00 |
| 1255 2,080    | 2255 1,040    | Extension for reply within fifth month                                     |          |
| 1401 340      | 2401 170      | Notice of Appeal   |          |
| 1402 340      | 2402 170      | Filing brief in support of an appeal                                       |          |
| 1403 300      | 2403 150      | Request for oral hearing   |          |
| 1451 1,510    | 1451 1,510    | Petition to institute a public use proceeding                              |          |
| 1452 110      | 2452 55       | Petition to revive - unavoidable   |          |
| 1453 1,370    | 2453 685      | Petition to revive - unintentional   |          |
| 1501 1,370    | 2501 685      | Utility issue fee (or reissue)   |          |
| 1502 490      | 2502 245      | Design issue fee   |          |
| 1503 660      | 2503 330      | Plant issue fee  |          |
| 1460 130      | 1460 130      | Petitions to the Commissioner  |          |
| 1807 50       | 1807 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 1806 180      | 1806 180      | Submission of Information Disclosure Stmt                                  |          |
| 8021 40       | 8021 40       | Recording each patent assignment per property (times number of properties) | 40.00    |
| 1809 790      | 2809 395      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 1810 790      | 2810 395      | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 1801 790      | 2801 395      | Request for Continued Examination (RCE)                                    |          |
| 1802 900      | 1802 900      | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 1,700.00)

\*\*or number previously paid, if greater; For Reissues, see above

| (Complete if applicable) |               |                                   |              |
|--------------------------|---------------|-----------------------------------|--------------|
| Name (Print/Type)        | Emily T. Bell | Registration No. (Attorney/Agent) | 47418        |
| Signature                |               | Telephone                         | 703.905.2261 |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.